03-01-05 Approved for use through 07/31/2006, OMB 0651-0031 'aperw B 2 8 2005 ork Reduction Ac U.S. Patent and rademark Office; U.S. DEPARTMENT OF COMMERCE 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/617,315 PRADEM Filing Date 7/10/2003 TRANSMITTAL First Named Inventor Jim D. Kunce **FORM** Group Art Unit (to be used for all correspondence after initial filing) **Examiner Name** Attorney Docket Number **ER1-011US** Total Number of Pages in This Submission ENCLOSURES (check all that apply) X Fee Transmittal Form Drawing(s) After Allowance Communication to Group Fee Attached Licensing-related Papers Appeal Communication to Board Petition of Appeals and Interferences Amendment / Reply Petition to Convert to a Appeal Communication to Group After Final Provisional Application (Appeal Notice, Brief, Reply Brief) Affidavits/declaration(s) Power of Attorney, Revocation Proprietary Information Change of Correspondence Extension of Time Request Status Letter Address **Express Abandonment Request** Other Enclosure(s) (please Terminal Disclaimer Information Disclosure Statement identify below): Request for Refund PTO Form 1449; 4 cited Certified Copy of Priority CD, Number of CD(s) references; PTO/SB/82 Form; **Documents** Return postcard Response to Missing Parts/ Incomplete Application Remarks Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm David M. Huntley, Reg. No. 40309 Individual Name Signature Date **CERTIFICATE OF TRANSMISSION/MAILING** I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE persons are required to respond to a collection of information unless it displays a valid OMB control number Affective on 12.0 Complete if Known priations Act, 2005 (H.R. 4818). **Application Number** 10/617,315 **TRANSMITTAL** Filing Date 7/10/2003 For FY 2005 Jim D. Kunce First Named Inventor

Applicant claims small entity status. See 37 CFR 1.27

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METHOD OF PAYMENT	(check a	all that apply)						
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Examiner Name

Art Unit

SUBMITTED BY Registration No. Unir. 1 kmi Telephone (509) 324-9256 Signature 40309 (Attorney/Agent) Name (Print/Type) David M. Huntley Date

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Serial No.	10/617,315
Filing Date	7/10/2003
Confirmation No	3579
Inventorship	Jim D. Kunce
Applicant	
Group Art Unit	
Examiner	······
Attorney's Docket No	ER1-011US
Title: Methods and Structure for Improved Interactive Statisti	cal Analysis

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<u>INFORMATION DISCLOSURE STATEMENT</u>

References -- See Attached Form PTO-1449

REMARKS

The citations listed, copies attached, are submitted in compliance with the duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

Respectfully Submitted,

Date: February 23, 2005

David M. Huntley

Reg. No. 40309

PTO/SB/08A (08-03)

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Complete if Known 10/617,315 **Application Number** Filing Date 7/10/2003 First Named Inventor Kunce Art Unit **Examiner Name**

(use as many sheets as necessary) Sheet 2 Attorney Docket Number **ER1-011US** of

			U.S. PATENT	DOCUMENTS	
Examiner Initials'	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 6,005,560	12-21-1999	Gill et al.	
		US- 2002/0087705	07-04-2002	Smyth	
		US- 2002/0184068	12-05-2002	Krishnan et al.	
		US- 2002/0198750	12-26-2002	Innes et al.	
		US- 2003/0160818	08-28-2003	Tschiegg et al.	
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	FOREIGN PATENT DOCUMENTS							
Examiner Initials'	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶		

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			•	Application Number	10/617,315
INFO	RMATIO	N DISC	LOSURE	Filing Date	7/10/2003
STAT	EMENT	BY AP	PLICANT	First Named Inventor	Kunce
				Group Art Unit	
	(use as many sh	eets as nec	essary)	Examiner Name	
Sheet	2	of	2	Attorney Docket Number	ER1-011US

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Selections from Web Risk Management website, including homepage page, Web Risk Manager Modules page, Web Risk Manager News page, and attached brochure, [online] [retrieved on 2/3/2005], [retrieved from the Internet at URL: https://www.webriskmanagement.com/home.asp , 8 pages total	
		US Provisional Patent Application 60/372,291, filed April 12, 2002, entitled "Risk Management Information Interface System and Associated Methods."	
		US Patent Application Serial No. 10/815,099, filed March 30, 2004, entitled "Strategies for Managing Recommendations."	
		US Patent Application Serial No. 10/411,912, filed April 12, 2003, entitled "Risk Management Information Interface System and Associated Methods."	
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